



PATENT  
0505-4015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Christopher KEITH

Filed : March 8, 2001

Group Art Unit: 2165

Serial No.: 09/802,163

Title: AUTOMATED FIRST LOOK AT MARKET EVENTS

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Examiner Technology Center 2100

Confirmation No.: 1129

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

[x] before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: September 14, 2001

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Substitute Form PTO 1449

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## INFORMATION DISCLOSURE STATEMENT

Sheet 1 of 2

|                  |                   |
|------------------|-------------------|
| Application No.  | 09/802,163        |
| Filing Date      | March 8, 2001     |
| Inventor         | Christopher Keith |
| Group Art Unit   | 2165              |
| Examiner         | TBA               |
| Atty. Docket No. | 0505-4015         |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Cite<br>No. | U.S. Patent Document |           | Name of Patentee | Publication Date<br>MM-DD-YYYY | Relevant<br>text or Figs. |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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|   | Examiner         | TBA               |
| Sheet 2 of 2                            | Atty. Docket No. | 0505-4015         |

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are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: September 14, 2001

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